

CERTIFICATE OF MAILING

I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents & Trademarks, Washington, DC 20231,

on MAY 7, 2002 (Date of Deposit)

05/07/02 Shelly Hart Name



PATENT

Attorney Docket No. 4609

M&W No. APM/039-00

Robert M. Wallace

2112 Eastman Avenue, Suite 102

Ventura, CA 93003

(805) 644-4035

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hiroji Hanawa, et al.

Serial No.: 09/638,075

Examiner: R. McDonald

Filed: August 11, 2000

Group Art Unit: 1753

For: EXTERNALLY EXCITED TORROIDAL PLASMA SOURCE

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AMENDMENT TRANSMITTAL

Commissioner for Patents & Trademarks

Washington, DC 20231

SIR:

Transmitted herewith is an amendment under 37 CFR 1.111 in the above-identified application. The fee has been calculated as shown below.

| | Claims remaining after amendment | | Highest number previ- ously paid for | | Present extra | Rate | Additional Fee |
|--|-------------------------------------|-------|---|---|------------------|------|----------------|
| Total Claims | 33 | minus | 37 | - | 4 | \$18 | \$72.00 |
| Independent | 6 | minus | 3 | - | 3 | \$84 | \$252.00 |
| If Multiple Dependent Claims Are Present, Add \$280.00 | | | | | | | |

(If applicant is a "small entity,"
subtract half of total)

Total additional fee
for this amendment

- ☐ No additional fee is required.
- ☐ A check in the amount of \$ is attached.
- ☒ The Commissioner is hereby authorized to charge payment of the fees in the amount of \$324.00 associated with this communication to Deposit Account No. 50-0338. The Commissioner is hereby authorized to charge any additional fees or deficiencies or Credit overpayment tp Deposit Account No. 50-0338. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Robert M. Wallace

Reg. No. 29,119

Attorney for Applicants

Dated: May 7, 2002

Robert M. Wallace
2112 Eastman Avenue, Suite 102
Ventura, CA 93003
(805) 644-4035

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I hereby certify that this paper and every paper referred to therein as being enclosed is deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on MAY 7, 2002 (Date of Deposit)
Date 05/07/02 Name Shelly



PATENT
Attorney Docket No. 4609
M&W Ref. No. APM/039-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#11/C
W.M.
5/31/02

In re Application of:
Hiroji Hanawa, et al.

Entitled: EXTERNALLY EXCITED
TORROIDAL PLASMA SOURCE

Serial No.: 09/638,075

Filing Date: August 11, 2000

Group Art Unit: 1753

Examiner: Rodney McDonald

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AMENDMENT UNDER 37 CFR 1.111

Commissioner for Patents & Trademarks
Washington, D.C. 20231

Sir:

In response to the Office Action dated February 7, 2002 (paper no. 7), please amend the above-identified patent application as follows:

IN THE CLAIMS:

To facilitate entry of the following changes, the applicants have also submitted herewith substitute pages providing all the pending claims, as they now stand, incorporating the changes indicated below.

Please cancel claims 1, 25, and 26.

Please amend the following claims:

002 NMOHAXM1 00000043 500338 09638075

03 72.00 CH
02 252.00 CH

C



Gp/1753

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I hereby certify that this paper and envelope enclosed to therein as being enclosed is being deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents & Trademarks, Washington, DC 20231,

on MAY 14, 2002 (Date of Deposit)
Date 05/14/02 Name Robert M. Wallace

PATENT
Attorney Docket No. 4609
M&W No. APM/039-00

Robert M. Wallace
2112 Eastman Avenue, Suite 102
Ventura, CA 93003
(805) 644-4035

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hiroji Hanawa, et al.

Serial No.: 09/638,075

Examiner: R. McDonald

Filed: August 11, 2000

Group Art Unit: 1753

For: EXTERNALLY EXCITED TORROIDAL PLASMA SOURCE

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SUPPLEMENTAL AMENDMENT TRANSMITTAL

Commissioner for Patents & Trademarks
Washington, DC 20231

SIR:

Transmitted herewith is a Supplemental Amendment in the above-identified application. The fee has been calculated as shown below.

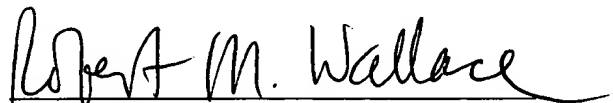
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| | Claims remaining after amendment | | Highest number previ- ously paid for | | Present extra | Rate | Additional Fee |
|---|-------------------------------------|-------|---|---|------------------|------|----------------|
| Total Claims | 37 | minus | 37 | = | 0 | \$18 | \$000.00 |
| Independent | 6 | minus | 6 | = | 0 | \$84 | \$000.00 |
| If Multiple Dependent Claims Are Present, Add \$280.00 | | | | | | | |
| (If applicant is a "small entity," subtract half of total) | | | | | | | |

Total additional fee
for this amendment \$000.00

- ☒ No additional fee is required.
- ☐ A check in the amount of \$ is attached.
- ☐ The Commissioner is hereby authorized to charge any additional fees or deficiencies or Credit overpayment to Deposit Account No. 50-0338.

Respectfully submitted,



Robert M. Wallace
Reg. No. 29,119
Attorney for Applicants

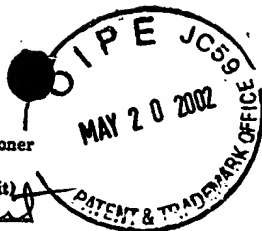
Dated: May 14, 2002

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on MAY 14, 2002 (Date of Deposit)
05-14-02 Date Shelly Hart Name



PATENT
 Attorney Docket No. 4609
 M&W Ref. No. APM/039-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
 Hiroji Hanawa, et al.

Entitled: EXTERNALLY EXCITED
 TORROIDAL PLASMA SOURCE

Serial No.: 09/638,075

Filing Date: August 11, 2000

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 : Group Art Unif: 1753
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 : Examiner: Rodney McDonald
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 5/31/02

SUPPLEMENTAL AMENDMENT

Commissioner for Patents & Trademarks
 Washington, D.C. 20231

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Sir:

In further response to the Office Action dated February 7, 2002 (paper no. 7), please amend the above-identified patent application as follows:

IN THE SPECIFICATION:

Please amend the specification as follows:

D